

# Pasture BMP Effectiveness Using an HRU-based Subarea Approach in SWAT

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## Abstract

Many conservation programs have been established to motivate producers to adopt best management practices (BMP) to minimize pasture runoff and nutrient loads, but a process is needed to assess BMP effectiveness to help target implementation efforts. A study was conducted to develop and demonstrate a method to evaluate water-quality impacts and the effectiveness of two widely used BMPs on a livestock pasture: off-stream watering site and stream fencing. The Soil and Water Assessment Tool (SWAT) model was built for the Pottawatomie Creek Watershed in eastern Kansas, independently calibrated at the watershed outlet for streamflow and at a pasture site for nutrients and sediment runoff, and also employed to simulate pollutant loads in a synthetic pasture. The pasture was divided into several subareas including stream, riparian zone, and two grazing zones. Five scenarios applied to both a synthetic pasture and a whole watershed were simulated to assess various combinations of widely used pasture BMPs: (1) baseline conditions with an open stream access, (2) an off-stream watering site installed in individual subareas in the pasture, and (3) stream or riparian zone fencing with an off-stream watering site. Results indicated that pollutant loads increase with increasing stocking rates whereas off-stream watering site and/or stream fencing reduce time cattle spend in the stream and nutrient loads. These two BMPs lowered organic P and N loads by more than 59% and nitrate loads by 19%, but TSS and sediment-attached P loads remained practically unchanged. An effectiveness index (EI) quantified impacts from the various combinations of off-stream watering sites and fencing in all scenarios. Stream bank contribution to pollutant loads was not accounted in the methodology due to limitations of the SWAT model, but can be incorporated in the approach if an amount of bank soil loss is known for various stocking rates. The proposed methodology provides an adaptable framework for pasture BMP assessment and was utilized to represent a consistent, defensible process to quantify the effectiveness of BMP proposals in a BMP auction in eastern Kansas.

**Keywords:** Best Management Practices; Grazing management; Hydrologic Response Unit; Pasture; Livestock; SWAT

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# 31 1. Introduction

32 Over 191 million hectares, or more than 50% of pastureland, rangeland, and woodland in the United  
33 States, are used for livestock grazing activities (USDA-NASS, 2008). These activities may contribute to  
34 impairment of water bodies by polluting nutrients, bacteria, and sediment (Haan *et al.*, 2006; U.S. EPA,  
35 2004). Use of rivers and streams as a primary source of water for livestock leads to increased grazing time  
36 in or near the stream, resulting in direct deposition of animal waste into the stream accompanied by bank  
37 erosion at stream access and crossing locations. Properly implemented best management practices (BMP)  
38 can significantly reduce the pollutant footprint of grazing lands. One goal for grazing management and  
39 sediment-control BMPs is to protect sensitive areas of the pasture, such as streams, riparian zones, and  
40 ponds, by restricting livestock access.

41 Common BMPs that support stream protection consist of an alternative off-stream water source, a  
42 riparian buffer, and exclusion fencing (U.S. EPA, 2004). The alternative water source attracts livestock  
43 away from primary water bodies, such as streams, ponds and lakes, whereas the fencing completely  
44 eliminates access to streams and prevents direct animal waste contribution as well as mechanical  
45 disturbance to banks that may cause excessive soil erosion. Fencing requires that an alternative off-stream  
46 water source exists. Riparian areas along a stream function similar to vegetative filters at the edge of  
47 agricultural fields by filtering runoff and trapping pollutants before they reach the stream (Mankin *et al.*,  
48 2007).

49 Many studies (Sheffield *et al.*, 1997; Line *et al.*, 2000; Agouridis *et al.*, 2005) observed substantial  
50 decrease in sediment losses after stream fencing. For example, Owens *et al.* (1996) observed that after  
51 stream and riparian buffer were fenced, annual TSS concentrations decreased by 50% over the following  
52 five years. Line *et al.* (2000) and Sheffield *et al.* (1997) reported TSS concentration reductions of more  
53 than 80%. In these studies, concentrations of total nitrogen (N) and phosphorous (P) were also reduced by  
54 50% to 70%. In all reported cases, reductions in pollutant loads were caused by improvement to stream  
55 banks and reduction in the associated bank erosion due to reduction in bank disturbance by cattle. The

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4 56 mineralized components of N and P loads are attached to and transported with sediment; therefore, N and  
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6 57 P reductions correlate with reductions in sediment concentrations.  
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9 58 A few field studies were conducted to assess stream water-quality improvement due to restriction of  
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11 59 stream access for cattle on grazing fields (Miner et al., 1992; Owens et al., 1996; Sheffield et al., 1997;  
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13 60 Line et al., 2000; Agouridis et al., 2005). Miner et al. (1992) and Sheffield et al. (1997) observed above  
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15 61 90% reduction of time cattle spent in stream if the off-stream watering site was introduced. Clawson  
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17 62 (1993) reported 81% time reduction and concluded that cattle preferred to drink 75% of the time from  
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19 63 trough than from stream. McInnis and McIver (2001) found small reductions in near-stream hoof traffic  
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21 64 from off-stream water and salt, but these reductions yielded significant reductions in development of  
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23 65 unstable stream banks. Daily use of a stream with no off-site water source in the pasture varied from  
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25 66 relatively short periods (4.7 min. by Clawson, 1993; 6.7 min. by Sheffield et al., 1997; and 14.5 min. by  
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27 67 Miner et al., 1992) to prolonged periods of above 90 min. (Pandey et al., 2009). Such variation in daily  
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29 68 stream use leads to differences in water-quality impact and improvements if the stream is fenced or  
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31 69 restricted. Agouridis et al. (2005) monitored cattle spatial variability within two pasture fields, and  
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33 70 statistically analyzed cattle positions over a 1.5-year period, and concluded a positive correlation between  
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35 71 the stream bank erosion and stocking density at 50 stream cross-sectional areas.  
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41 72 Preferential grazing patterns within a pasture cause concentrated points of manure depositions, which  
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43 73 affect native levels of soil organic nutrient components. Spatial variation of soil properties also can be due  
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45 74 to geological factors or can be imposed by soil management practices. Sauer and Meek (2003) studied  
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47 75 spatial variation of soil P in two pasture fields. The impact of morphological factors was found to be  
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49 76 secondary to management factors. They also found that the history of grazing activity in the pasture  
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51 77 affects nutrient content in soil, thus affecting pollutant runoff. Areas of higher P occur mostly near gates,  
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53 78 roads, stream, and watering sites (Sauer and Meek, 2003; Penn et al., 2009). Areas of high slope have  
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55 79 greater potential to generate surface runoff, accompanying soil loss, and sediment-attached P than areas  
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80 with low slope (Haan et al., 2006). Representation of these preferential grazing patterns and  
81 morphological features are needed in models to better assess the environmental impact of grazing BMPs.

82 Phosphorous loss in runoff is considered an important indicator of pasture environmental quality, and  
83 several models were developed to assess impacts of grazing BMPs on soil health (USDA-NRCS, 1994;  
84 Wade et al., 1998; White et al., 2009; Nelson and Shober, 2012). The Phosphorous Index (PI) model is a  
85 qualitative tool to assess various land forms for potential risk of P runoff to water bodies (USDA-NRCS,  
86 1994; Nelson and Shober, 2012). The tool computes P index rating for a pasture area as a sum of eight P  
87 loss factors and weighting coefficients. P loss factors range from low to high and split into categories  
88 related to soil erosion, runoff , soil test P, P fertilizer application rate and method, and organic P source  
89 application rate and method (Lemunyon and Gilbert, 1993). The P index rating result from application of  
90 weighted coefficients to interpret the site vulnerability ratings. The tool mainly relies on general pasture  
91 information and does not use spatial characteristics or management operations. The Grazing Potential  
92 Index model (GPI; Wade et al., 1998) uses land characteristics, pasture proximity to the stream, and  
93 forage availability to assess environmental potential. The model statistically distributes cattle within the  
94 pasture based on geographical features such as the nearest permanent water source(s), land slopes, and  
95 forage availability, and assigns a GPI score to different areas within the watershed. The areas with higher  
96 GPI scores are expected to be favored by livestock. Although this model produces spatial representations  
97 of grazing patterns, it does not quantitatively calculate nutrient loads to the stream. The Pasture  
98 Phosphorous Management (PPM) calculator (White et al., 2009) is a quantitative P assessment tool that  
99 uses the Soil and Water Assessment Tool (SWAT) model to pre-process P loads for individual  
100 Hydrologic Response Units (HRU) and lets a user select the corresponding representation of the grazing  
101 area. Grazing management operations, stocking rates, and fertilizer applications are inputs to the model  
102 on a monthly basis. The PPM Calculator presents a quantitative way to evaluate the P runoff from a  
103 pasture; however, it does not account for unique land characteristics at different parts of the pasture,  
104 grazing spatial patterns, and direct stream contribution.

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4 105 To minimize pasture runoff and nutrient loads, many conservation programs, traditional cost-share  
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6 106 programs, or cost-effective alternative programs have been established to motivate producers to adopt  
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9 107 BMPs (Smith et al., 2007; Smith et al., 2009). Administration of these programs would benefit from a  
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11 108 better understanding of the site-specific pollutant-load reductions associated with the proposed BMPs in  
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13 109 order to better evaluate the environmental benefits associated with program costs. The three presented  
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15 110 above models cannot be directly used to quantify site-specific environmental impacts of pasture BMPs,  
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17 111 which would be beneficial for accurate assessment of field condition. Therefore, the objective of this  
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20 112 study was to develop and demonstrate a new method of utilizing site-specific pasture characteristics and  
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22 113 cattle grazing patterns for determining average annual pollutant load and calculating an index of pasture  
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24 114 BMP effectiveness. Demonstration and application of this method to pastures in Pottawatomie Creek  
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26 115 watershed in eastern Kansas and a single synthetic pasture will be discussed.  
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## 30 116 **2. Methods and Materials**

### 31 32 33 34 117 ***2.1. Pasture design***

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37 118 Pasture fields are lands of low-growing vegetation (grasses, shrubs, plants, etc.) utilized for animal  
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39 119 grazing. Pasture fields differ in size, topography, soil characteristics, forage type, and grazing  
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42 120 management and normally contain an access point to water. A typical water source can be a stream  
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44 121 flowing through pasture or an alternative off-stream watering site (a pond or an artificially build trough)  
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46 122 (Ohlenbusch and Harner, 2003). A pond or any alternative source of water that is hydrologically  
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48 123 disconnected from a stream provides an opportunity to capture majority of animal waste that otherwise  
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50 124 would have been excreted directly into the stream. A vegetative buffer or riparian area is a native or  
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53 125 created buffer adjacent to a stream to reduce pollutant transport to the streams (U.S. EPA, 2004).  
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55 126 In this study, we defined a pasture to consist of three parts: a grazing area, a riparian area, and a stream.  
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58 127 The grazing area represents a main area for cattle to graze. The riparian area can be a buffer zone along  
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60 128 the stream or forested inclusions within the grazing area. The stream area is defined as area that envelops  
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129 the stream and by its land cover characteristics cannot be interpreted as riparian buffer. If an alternative  
130 watering site is present, it is assumed to be located within the grazing area and have no direct outflow to  
131 the stream. Both the grazing area and the riparian buffer can be divided further into subareas according to  
132 individual land characteristics, such as topography, soil type, and land cover, and management operations.  
133 Within each subarea, the characteristics are assumed to be uniform.

**2.2. Stocking rate**

Variability in grazing distribution can be accounted by using individual stocking rates (*SR*) for each  
subarea. Generally, the average *SR* for an entire pasture area is expressed as the number of animal units  
(*AU*; 1 *AU* = 1,000 kg of animal weight) allocated per unit of land area *A* (1 ha) in one day (Ohlenbusch  
and Watson, 1994; Redfearn and Bidwell, 1997). For an individual subarea *i* in the pasture, the local  
stocking rate (*SR<sub>i</sub>*) relates to a daily fraction of the grazing time by:

$$SR_i = [\text{Number of } AU][\%Time]/A_i \tag{1}$$

while average stocking rate *SR<sub>AVG</sub>* for pasture with *N* subareas can be expressed using an area-weighted  
approach as

$$SR_{AVG} = \sum_{i=1}^N (SR_i A_i) / \sum_{i=1}^N A_i \tag{2}$$

**2.3. SWAT model**

The Soil and Water Assessment Tool (SWAT) (Arnold et al., 1998; Neitsch et al., 2004, 2005) is a  
widely used watershed model (Gassman et al., 2007; Douglas-Mankin et al., 2010) that was utilized in  
this study to simulate hydrological and water-quality processes at the field (or pasture) and watershed  
scales. SWAT is a complex continuous simulation model that incorporates a set of both physically and  
empirically based equations within multiple modules that proceed either independently of each other or  
use outputs of one module as inputs for another module within one time-step. Both pasture-scale and  
watershed-scale modules are implemented in SWAT. Based on topography and using the Geographic

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4 152 Information System (GIS) module within ArcGIS (ESRI, 2011) for pre-processing geospatial layers,  
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6 153 SWAT delineates a watershed into subwatersheds that are further subdivided into areas of homogeneous  
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8 154 soil type, land cover, and slope range, called Hydrologic Response Units (HRU). HRUs are used to  
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10 155 simulate all processes that occur at the pasture scale, including hydrologic budget, plant growth, erosion,  
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12 156 and nutrient cycles. The amount of water, nutrients, and sediment flowing from the HRUs into streams  
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14 157 are accounted at the daily time scale and collected as HRU outputs.

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18 158 Applying the HRU framework to pasture area, subareas within the pasture can be represented by  
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20 159 individual HRUs. For example, pasture (identified in SWAT as PAST) and rangeland (RNGE) land cover  
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22 160 represent grazing subareas, and deciduous forest (FRSD) and range-brush mix (RNGB) represent riparian  
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24 161 subareas. We note that stream subarea was not simulated in SWAT.

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27 162 SWAT requires a large number of input parameters that are divided into the groups of watershed-scale  
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29 163 and pasture-scale parameters. Many watershed-scale parameters, such as land cover, soil, geographical  
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31 164 features, and groundwater parameters, are either loaded directly as attributes of geospatial layers or  
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33 165 calculated from them. Twelve pasture-scale parameters that relate to land management and practices in  
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35 166 the pasture are presented in Table 1 and applied to grazing and riparian HRUs. The amount of minimum  
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37 167 dry above ground biomass at which grazing is permitted, pasture initial conditions, operation schedule,  
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39 168 and daily amounts of biomass consumed and trampled are input into the SWAT project database based on  
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41 169 the SR and knowledge of animal behavior in the pasture.

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45 170 Loadings of six specified pollutants (soluble P [defined in SWAT by SOLP], organic P [ORGP],  
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47 171 sediment-attached P [SEDP], organic N [ORGN], nitrate [NO<sub>3</sub>-N], and suspended solids [TSS]) for each  
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49 172 subarea in the pasture besides the stream subarea are collected from the unit-area HRU outputs ( $\omega$ ). For  
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51 173 stream subareas an approach is used based on fractions of nutrients in animal waste that are directly  
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53 174 applied to the stream load:

$$\omega_{\text{stream}} = \chi \cdot m \cdot SR_{\text{stream}} \quad (3)$$

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4 176 where  $m=8.5$  kg, and  $\chi$  is percent of the selected nutrient constituent in dry solid manure, 1.0% for  $\text{NO}_3\text{-}$   
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6 177 N, 3.0% for ORGN, 0.4% for SOLP, 0.7% for ORGP, and 0% for SEDP and TSS according to the ASAE  
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8 178 standards (ASAE, 1999) and SWAT database (Neitsh et al., 2005). Total load  $W_{\text{pasture}}$  of each pollutant for  
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10 179 the entire pasture can be calculated as:

$$14 \quad 180 \quad W_{\text{pasture}} = \omega_{\text{stream}}A_{\text{stream}} + \omega_2A_2 + \omega_3A_3 + \dots + \omega_NA_N \quad (4)$$

## 17 181 **2.4. BMP effectiveness**

20 182 Various pasture BMPs are designed to improve water-quality conditions in the pasture; reduce P, N,  
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22 183 and TSS loads; and optimize grazing management. Among many pasture BMPs such as cross fencing,  
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24 184 stream fencing, rotational grazing, and alternative watering sites, only a few can be represented within  
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26 185 current continuous water-quality models. In this study, a procedure was developed to simulate two pasture  
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28 186 BMPs, both related to reducing stream access: creating off-stream watering sites and fencing streams  
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30 187 and/or buffers (Ohlenbusch and Harner, 2003). By establishing an off-stream watering site in upland  
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32 188 subareas of the pasture, it increases the fraction of daily time that cattle graze there, which increases SR in  
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34 189 the subarea of the alternative watering site and reduces SR in the area near the stream. If fencing is  
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36 190 applied, the fenced areas (stream or buffer) are considered inaccessible for grazing, and SR in these areas  
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38 191 is set to zero.

43 192 Two types of modeling scenarios were introduced in this study. Grazing scenario in a pasture with no  
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45 193 implemented BMPs will be called the baseline scenario, while a pasture with implemented fencing or off-  
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47 194 stream watering site will be called a BMP scenario. A water-quality impact of implemented BMPs can be  
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49 195 calculated by comparing the pollutant loads in baseline and BMP scenarios using either an effectiveness  
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51 196 index (EI, %) for a single pollutant or the cumulative effectiveness index (CEI, %) in the case of  $M$   
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54 197 multiple pollutants:

$$57 \quad 198 \quad EI_j = \frac{W_j^{\text{baseline}} - W_j^{\text{BMP}}}{W_j^{\text{baseline}}} 100\%, \quad CEI = \sum_{j=1}^M (\phi_j EI_j) \quad (5)$$

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4 199 where  $j$  represents a pollutant and  $\phi_j$  are weighting coefficients according to pollutant ranking table. In this  
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6 200 study, the six pollutants ( $M=6$ ) specified above as outputs of the SWAT model were considered of equal  
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8 201 importance, thus,  $\phi_j = 0.167$ .

## 11 202 **3. Study area**

### 15 203 **3.1. Model setup**

19 204 The pasture BMP effectiveness method was tested in the 897-km<sup>2</sup> Pottawatomie Creek Watershed in  
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21 205 eastern Kansas (Fig. 1). The SWAT ver. 2005 model was built for the watershed with the main outlet set  
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23 206 at the USGS gauging station 06914100 on Pottawatomie Creek near the town of Scipio, Kansas (USGS,  
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25 207 2009; Fig. 1b). Based on geospatial datasets of 10-m Digital Elevation Model, 30-m NLCD land cover,  
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27 208 and SSURGO soil database (Homer *et al.*, 2007; USDA-NRCS, 2005; Sheshukov *et al.*, 2011), the  
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29 209 watershed was delineated into seven subwatersheds and 6066 HRUs. Watershed land uses were primarily  
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31 210 grazing land (46%) and cropland (30%), and soil was predominantly (80%) silt loam of high runoff  
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33 211 potential (hydrologic soil group type D). According to land-ownership parcels in eastern Kansas, the  
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35 212 watershed is comprised of 403 sections of land (260 ha, 640 acres) with grazing land occupying on  
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37 213 average 163 ha (from 13 ha to 250 ha) of each section (Fig. 1b). Following practices used in eastern  
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39 214 Kansas, continuous corn with a mix of conventional and no-till practices were applied to all cropland  
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41 215 HRUs, while pasture HRUs had tall fescue grass growing all year and grazed with an average SR of 0.8  
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43 216 AU/ha.

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49 217 Due to availability of edge-of-field sampling data, a 3.5 hectare dairy pasture field in subwatershed 7  
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51 218 composed of tall fescue grass in low slope (80%) and high slope (20%) areas was identified for pasture  
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53 219 scale analysis. Three HRUs represented the pasture and 200 dairy cattle were grazed throughout the year.  
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55 220 Specific grazing management operation parameters used in the model are provided in Table 1.

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221 Timeseries of daily temperature and precipitation from 1995 to 2005 from two NCDC cooperative  
222 weather stations 143008 and 143441 (NCDC, 2009) were collected for weather input in subwatersheds 1  
223 to 6. For subwatershed 7, daily records from the onsite weather station at the dairy pasture were used  
224 instead. The model was run for seven years (1999-2005), with the first 2 years used for model spin-off.

### 225 **3.2. Model calibration**

226 Two types of calibration procedures were conducted to ensure acceptable performance of the SWAT  
227 model at both the watershed and pasture scales. First, the simulated daily streamflow was compared with  
228 data from the gaging station at the watershed outlet. The following statistical parameters were used for  
229 calibration (Moriassi et al., 2007): the Nash-Sutcliffe efficiency coefficient (NSE; Nash and Sutcliffe,  
230 1970), the coefficient of determination ( $R^2$ ), the root mean square error to standard deviation ratio (RSR),  
231 and the percent bias (PBIAS). Seven model parameters shown in Table 2 were adjusted during hydrologic  
232 calibration process. The final statistics presented in Table 3 was rated from very good (NSE > 0.75; RSR  
233  $\leq 0.50$ ) to good ( $\pm 10\% \leq \text{PBIAS} < \pm 15\%$ ) according to Moriassi et al. (2007). Second, water samples from  
234 2001 to 2005 were used to calibrate the model for sediment and nutrient runoff at the pasture scale  
235 (Mankin et al., 2007; Fig. 1c). An automated sampler (Model 6700; Onset, 2008) was installed at the edge  
236 of the pasture field, and a total of 22 flow-weighted water samples were collected on a bi-weekly schedule  
237 from multi-day runoff events. Samples were analyzed for total phosphorous (P), nitrate ( $\text{NO}_3\text{-N}$ ), and  
238 suspended solids (TSS) using methods described in APHA (1998). The observed concentrations were  
239 compared with the SWAT-simulated concentrations of the same pollutants aggregated for each sampling  
240 period using area-weighted concentrations from three HRUs comprising the pasture field. Five model  
241 parameters (Table 2) were adjusted during the calibration process, which resulted in satisfactory model  
242 performance for TSS (PBIAS <  $\pm 55\%$ ) and very good performance for total P and  $\text{NO}_3\text{-N}$  (PBIAS <  
243  $\pm 25\%$ ) (Table 3; Moriassi et al., 2007). In addition, mean and ranges of observed and calibrated  
244 streamflow and pollutant concentrations were compared in Table 3 and showed adequate model

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245 performance. Based on the statistics of two calibration procedures, the model was deemed acceptable for  
246 simulation of pasture BMP effectiveness.

### 247 **3.3. Synthetic pasture scenarios**

248 After the SWAT model was built and calibrated at the watershed and pasture scales, an example  
249 synthetic pasture was configured to evaluate effectiveness of pasture BMPs. The distribution of pasture  
250 and forest HRUs and a stream length in the watershed were used as basis to design a synthetic pasture.  
251 Based on total area of all pastureland as 51,300 ha, total length of streams crossing through pastureland as  
252 789 km, and assuming typical 10-meter wide floodplain and 15-meter wide riparian zone on each side of  
253 the stream, stream area and riparian buffer were found to occupy 1.6% and 4.5% of total pastureland in  
254 the watershed, respectively. These percentages were used to define subareas within the synthetic pasture.  
255 A synthetic pasture was selected to represent a quarter-section (65 ha, 160 acres) of land-ownership parcel  
256 and contained an in-stream area (1 hectare; defined as S), a riparian zone (3 hectares; R), and grazing area  
257 (61 hectares). To account for land variability, the grazing area was additionally divided into two grazing  
258 subareas G1 and G2 of the same soil type.

259 An average slope of all pastureland was 1.2%, with riparian areas sloped at 0.4%. The subarea G1 was  
260 selected to represent low slope topography, whereas G2 covered high-slope area. Areas of G1 and G2  
261 varied for different scenarios and SWAT runs. Three specific HRUs from the SWAT model were selected  
262 to represent pasture subareas; two HRUs identified with PAST landuse represented areas G1 and G2, and  
263 one HRU with RNGE landuse represented area R. Two HRUs selected for G1 and R had slopes of 0.4%  
264 for all runs. The slope of the second pasture HRU for G2 was appropriately adjusted to satisfy the average  
265 pasture slope of 1.2%, and SWAT model was reran for each new scenario.

266 Cattle stocking rate of 0.8 AU/ha/day were used for uniform grazing within the whole synthetic pasture  
267 without considering preferential grazing patterns. Daily amount of time that cattle spent in each subarea  
268 was strictly based on the area of grazing and riparian subareas, while for not-fenced stream subarea it was

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assumed at 14 minutes (1% of each day). This selected duration of stream time was similar to Miner et al. (1992), however, other durations of up to 140 minutes (10%) were also tested in simulations.

The baseline and four BMP scenarios were simulated for the synthetic pasture. In the baseline scenario, cattle spent 14 minutes (1% of 24 hours) in stream subarea, 67 minutes in subarea B, and 1,359 minutes in subareas G1 and G2. The first two BMP scenarios, W1N and W2N, simulated BMPs with off-stream watering site installed in grazing areas G1 and G2, respectively, and no stream fencing. Because of the presence of off-site watering site, the stream time was assumed to be reduced by 90% as similar to Miner et al. (1992) and Sheffield et al. (1997). Other reductions in stream time (from 50% to 100%) were also tested and discussed in section 4. The final two BMP scenarios, W1F and W2F, represented a combination of an off-stream watering site in either G1 or G2 complemented by stream fencing. In these two scenarios cattle has no access to stream and spend all daily time grazing in subareas G1 and G2.

## 4. Results

The SWAT model for the Pottawatomie Creek watershed, calibrated at watershed and pasture scales, was run many times with stocking rates varying from 0 to 3 AU/ha applied to all grazing (RNGE, HAY) and forested (FRSD, RNGB) HRUs. For each run, annual average loads of six pollutants (TSS, SEDP, ORGP, SOLP, ORGN, NO<sub>3</sub>-N) were collected in all HRUs, aggregated to represent the pasture according to Eq. (4), and used to calculate pasture BMP effectiveness indices EI and CEI with Eq. (5). The results were applied to simulate baseline and four BMP scenarios for the following two pasture sets: (1) a single synthetic pasture comprised of four subareas and (2) all 403 pastures in the entire Pottawatomie Creek watershed. Synthetic pasture scenarios and results are presented in details while the statistics of the BMP applications to the entire watershed is summarized and discussed.

Annual average loads for the synthetic pasture are presented in Fig. 2a for the baseline scenario, while the loads for four BMP scenarios are shown in Fig. 2b as changes from the baseline. The subareas G1, G2, R, and S were set as default at 50 ha, 11 ha, 3 ha and 1 ha, respectively. In addition, range bars in Fig.

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293 2a represent ranges of loads for grazing subarea G1 varying from 0 to 61 ha. Pasture pollutants related to  
294 sediment runoff show the largest variation in loads with the change in area of G1, with the loads of TSS  
295 and SEDP increasing 14 times from pasture configuration with 100% G1 and no G2 area to the  
296 configuration with no G1 and 100% G2 area (Fig. 2a). The high-slope subarea G2 produces 20 times  
297 more sediment runoff than G1, thus contributing more to TSS and SEDP loads with a decrease of G1.  
298 Loads of other pollutants (ORGP, SOLP, ORGN, NO<sub>3</sub>-N) increased only by about 5% with decrease of  
299 G1 indicating that they rely more on either phosphorous and nitrogen concentrations stored in the soil or  
300 applied in a form of manure to the soil or directly in the stream. For the default case of G1 area of 50 ha,  
301 the stream contribution to total pasture loads was 66% for ORGP, 94% for ORGN, and 21% for NO<sub>3</sub>-N.  
302 For SOLP, the major contributor was the grazing subarea G1 at 81%. The conducted additional tests with  
303 different sizes of the riparian subarea revealed the decrease of total pasture TSS and SEDP loads with an  
304 increase of riparian buffer.

305 Benefits of the reduction in stream time were apparent when examining net nutrient loads from BMP  
306 scenarios when compared to their respective baseline scenarios (Fig. 2b). The reduction in stream time for  
307 scenarios W1N and W2N relative to the baseline scenario reduced the pollutant stream contribution by  
308 90% and reduced organic nutrient loads by 85% for ORGN, 59% for ORGP, and 19% for NO<sub>3</sub>-N,  
309 whereas SOLP, TSS, and SEDP loads remained practically unaffected. Fencing a stream in scenarios  
310 W1F and W2F completely eliminated access to stream, thus increased stocking rates and manure  
311 deposition in grazing subareas. However, the fencing led to only an incremental decrease in pollutant  
312 loads in contrast with a substantial reduction caused by the off-stream watering site.

313 The impact of pasture topography on BMP effectiveness is presented in Fig. 3 for four BMP scenarios  
314 by varying the area of G1 from 0% to 100% (61 ha) coverage. The CEI increased with the increase of G1  
315 area for all BMPs reaching its maximum value when G1 area occupies 100% of the grazing land. Similar  
316 to the analysis of pollutant loads presented in Fig. 2, the presence of off-stream watering site in scenarios  
317 W1N and W2N had a much stronger impact on BMP effectiveness (CEI ranged from 19.7% to 31%) and

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318 pollutant load reductions than impacts caused by additional stream fencing. Two fencing scenarios (W1F,  
319 W2F) consistently produced higher CEIs than a non-fencing scenario (W1N, W2N) for any percentage of  
320 G1 area, however, the difference in CEI was only 1.8% for zero G1 subarea, and increased to 3.4% for G1  
321 subarea occupying 100%. A placement of the watering site in a low-slope area G1 was slightly more  
322 efficient than placing it in the high-slope area G2, with CEI increasing with the increase of contribution of  
323 G1 in grazing area. This was also confirmed by assessing BMPs in all pastures in the watershed; average  
324 CEI reduced from 38% for low-slope (0.01%) pasture to 31% for high-slope (6%) pasture.

325 Major factors influencing load calculations were the daily grazing times in pasture subareas, especially  
326 in the stream. The stream time was assumed in the synthetic pasture configuration as 1% of daily time or  
327 14 minutes for the baseline scenario; it was additionally reduced by 90% (1.4 minutes) for scenarios W1N  
328 and W2N with off-stream watering site, or completely eliminated (0 minutes) for scenarios W1F and  
329 W2F with stream fencing. The pre-BMP baseline stream time and its reduction as a result of BMP  
330 scenarios can be considered site specific and may vary seasonally. The sensitivity of BMP efficiency to  
331 the variability in stream time was evaluated in Fig. 4 by plotting CEI as a function of the reduction in  
332 stream grazing time in W1N scenario. The daily stream grazing time varied from 7 minutes (curve 1) to  
333 120 minutes (curve 4) for synthetic pasture scenarios. The CEI gradually increased as the stream time  
334 increased, which reflects the fact that overall pollutant reduction was responsive to implementation of the  
335 off-stream watering site. However, there were diminishing returns for each increment of stream time  
336 increase; the relative magnitude of reductions decreased as stream time increased. In addition, CEI  
337 increased for greater reductions in stream time for any given pre-BMP stream grazing time. A 100%  
338 reduction in time represents the case of completely halting the stream access for grazing, which is similar  
339 to stream fencing in W1F scenario. The increase in CEI that results from greater reductions in stream  
340 time confirms intuition. However, also evident in Fig. 4 is that the rate of increase in CEI per unit of  
341 reduction in time increases slightly as pre-BMP stream time increases. For example, a shift from 50% to  
342 100% stream time reduction led to a 13% CEI increase for curve 1 (7 min) compared to a 18% CEI

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343 increase for curve 3 (30 min). Thus, settings in which cattle initially (pre-BMP) spend more time in the  
344 stream may show a greater response per unit of reduction in stream time resulting from a given BMP.

345 Similar behavior was observed while evaluating all 403 pastures in the watershed. The range of CEI for  
346 WIN scenario with 14-minute stream time is presented in Fig. 4 as a shaded area, while the mean trend is  
347 shown by the dashed line. Comparing with curve 2 for the synthetic pasture, the watershed overall  
348 exhibited better response and from 5 to 10% higher BMP effectiveness to off-stream watering site  
349 installation.

## 350 5. Discussion

351 Studying the baseline scenario revealed that accounting for stream subarea in configuring a pasture can  
352 increase nutrient contribution from pasture and substantially improve estimation of BMP efficiency.  
353 Localized high-slope grazing areas in a pasture may affect hillslope hydrology, increase soil erosion and  
354 transported pollutants.

355 The results presented in section 4 demonstrated that an off-stream watering site can positively affect  
356 concentrations of animal waste in the stream by attracting livestock to spend longer times away from the  
357 stream. Stream fencing provided additional reduction in pollutant loads; although, the increase in  
358 effectiveness was marginal compared to the impact of an off-stream watering site. These results  
359 correspond with the findings of Sheffield et al. (1997), Line et al. (2000), and Agouridis et al. (2005). For  
360  $SR_{AVE} = 0.8$  AU/ha, keeping livestock away from the stream reduced organic components of P and N by  
361 85% and 59%, nitrates by 19%, however, TSS and SEDP remained almost unchanged which contradicted  
362 the other experimental studies (Sheffield et al., 1997). The disparity between the results mainly occurred  
363 due to not accounting for stream bank erosion in SWAT, a potentially significant contributor to stream  
364 TSS. A reduction in grazing time near a stream should decrease trampling and other deteriorating effects  
365 on banks that were found important by Sheffield et al. (1997) and Agouridis et al. (2005) but not  
366 explicitly included in the SWAT model. We predict that accounting for bank erosion by incorporating

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367 stream bank soil loss components in Eq. (4) would increase the BMP effectiveness of both fencing and  
368 off-stream watering site practices. Regardless of this shortcoming, the methodology presented in this  
369 paper provides a viable tool to assist expert management teams in evaluating the effectiveness of an off-  
370 stream watering site and stream fencing. As an example, this approach was used in making management  
371 decisions to award producers for BMP implementation on producer pasture fields as part of the livestock  
372 BMP auction (U.S. EPA, 2009). The awarded installation of the off-stream watering site not only  
373 produced high effectiveness index but also appeared to be the most cost-efficient compared to other BMP  
374 proposals. In contrast, the stream fencing and riparian buffer fencing was associated with higher cost and,  
375 in the end, turned out to be less cost-efficient.

## 376 **6. Conclusions**

377 In this study, a modeling approach of pasture BMP effectiveness was developed based on HRU-based  
378 representation of individual pasture subareas including stream, riparian zone, and various slope grazing  
379 lands. Based on the HRU distribution in the SWAT model built for Pottawatomie Creek Watershed in  
380 eastern Kansas, four scenarios with combinations of off-stream watering site and stream fencing BMPs  
381 were evaluated in synthetic pasture and a whole watershed.

382 The presence of the off-stream watering site reduced the time cattle spent in the flood plain area as  
383 well as the overall pollutant load in the stream. This resulted in the cumulative effectiveness index being  
384 above 20% for all BMP scenarios, with an additional gain of 2% if the stream was fenced. Sediment  
385 contribution from stream bank erosion was not accounted in the methodology due to limitations of the  
386 SWAT model, but can be incorporated in the approach if an amount of bank soil loss is known for various  
387 stocking rates. The methodology was applied to evaluate BMP proposals in a BMP auction conducted in  
388 an eastern Kansas watershed.

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**Figure Captions:**

Fig. 1. Study watershed, showing (a) Pottawatomie Creek Watershed in east-central Kansas; (b) stream network, delineated subwatersheds, grazing area in 260-hectare land parcels, weather stations, and USGS gauging station used in streamflow calibration; and (c) aerial image of the Nichols dairy pasture used in sediment and nutrient calibration.

Fig. 2. (a) Annual average loads of total suspended solids (TSS), organic P (ORGP), sediment-attached P (SEDP), soluble P (SOLP), organic N (ORGN), and nitrates (NO3-N) for baseline scenario of the synthetic pasture, and (b) load changes from the baseline for four BMP scenarios. Subareas G1, G2, R, S were set as default at 50 ha, 11 ha, 3 ha, and 1 ha, respectively, while range bars in (a) represent grazing subarea G1 varying from 0 to 61 ha.

Fig. 3. Pasture BMP cumulative effectiveness index (CEI, %) for four BMP scenarios as a function of the percentage of subarea G1 in total grazing area.

Fig. 4. BMP cumulative effectiveness index (CEI, %) for W1N scenario in Pottawatomie Creek watershed and synthetic pasture. The CEI is calculated for various daily stream grazing times as a function of stream time reduction (based on increasing use of an alternative watering site). The shaded area and dashed line illustrate range and a mean of CEI for 403 pastures in Pottawatomie Creek watershed, while solid lines show CEI for synthetic pasture.

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519 **Table Captions:**

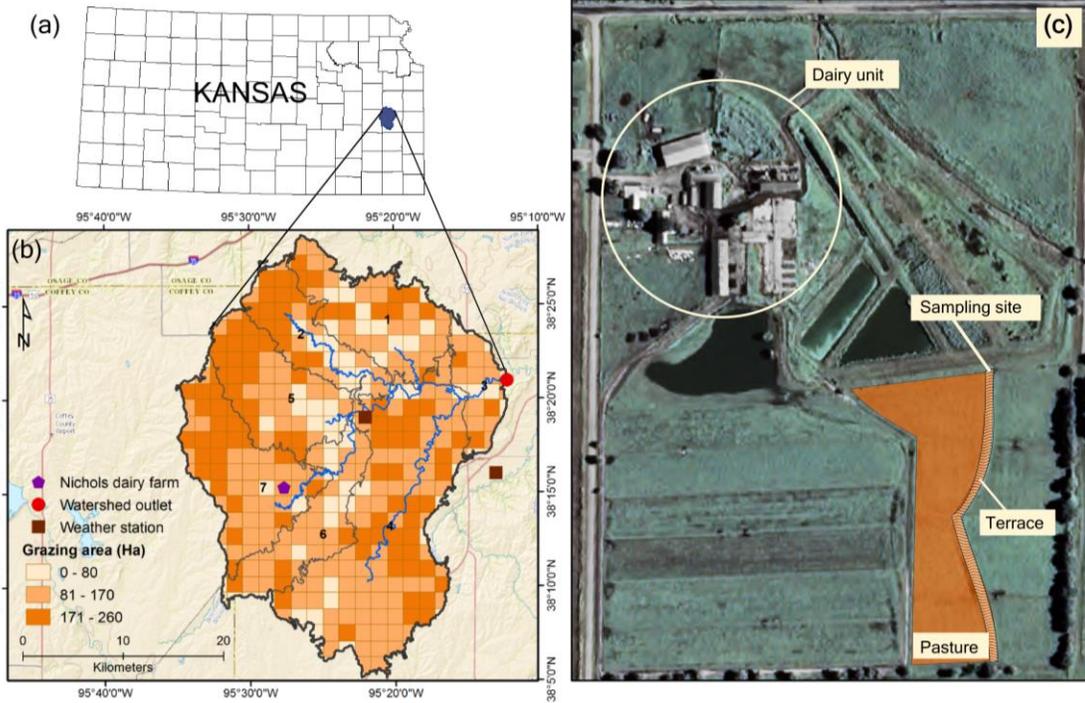
520 Table 1. SWAT management operation input parameters and their values within a grazing land (G1,  
521 G2) HRU and a riparian buffer (R) HRU (VAR means variable values).

522 Table 2. SWAT parameters adjusted during calibration runs in Pottawatomie Creek watershed.

523 Table 3. Observed streamflow, pollutant concentrations, and statistics for default and final calibration  
524 runs in Pottawatomie Creek watershed (n is the number of samples).

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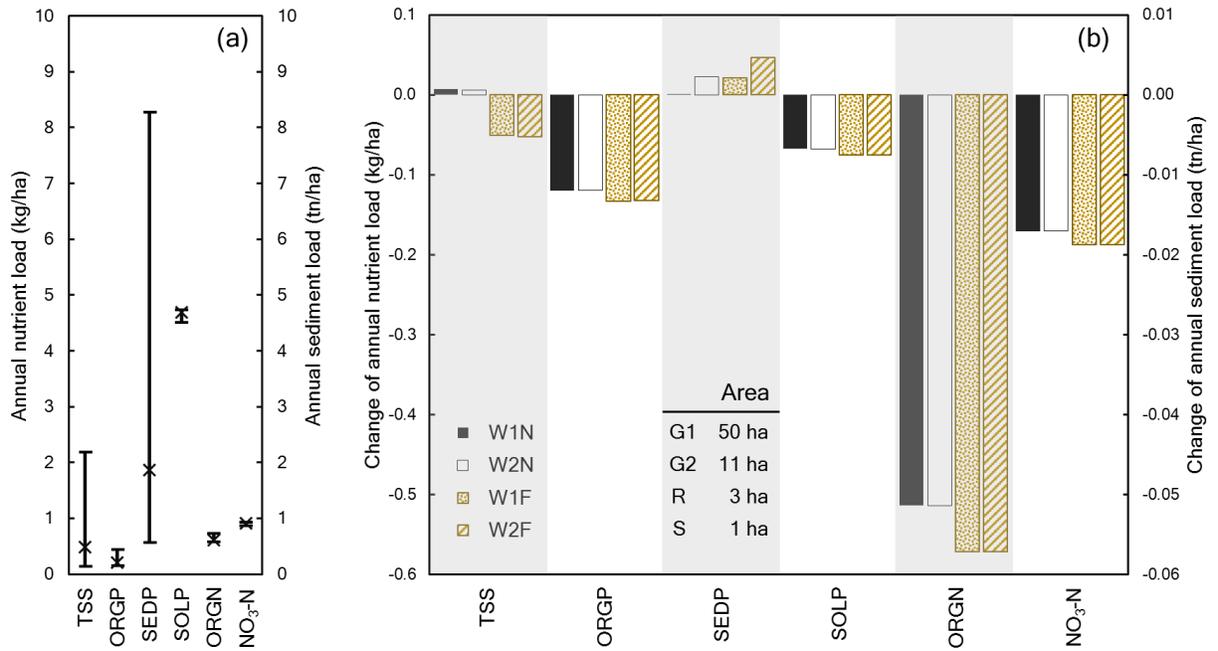
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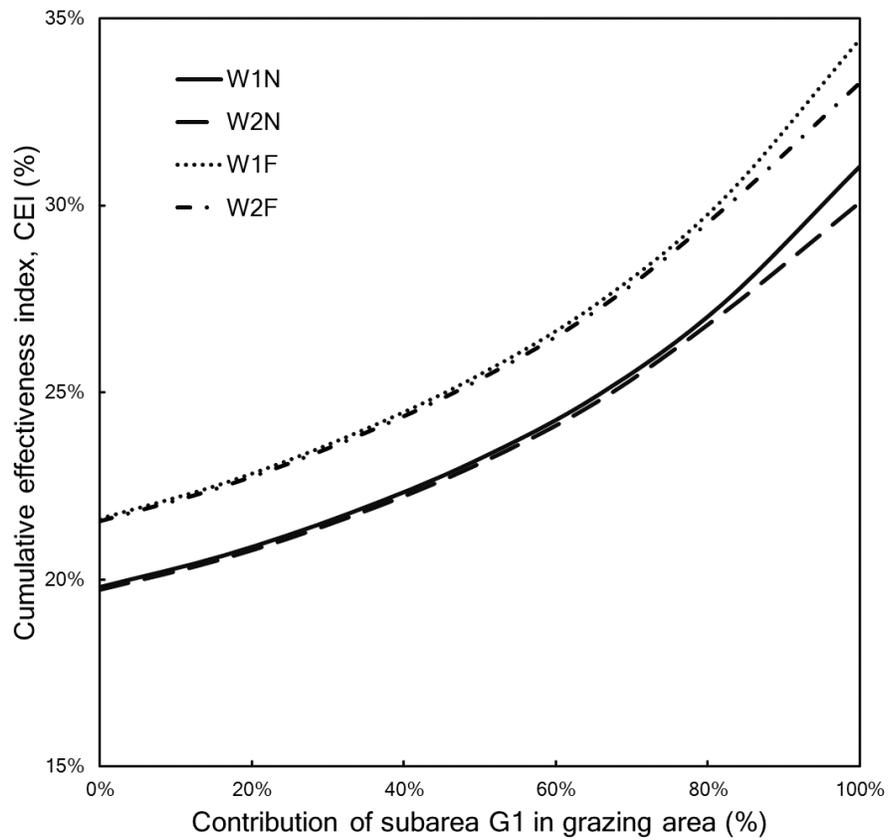
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28 **Fig. 2** (a) Annual average loads of total suspended solids (TSS), organic P (ORGP), sediment-attached P (SEDP),  
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30 load changes from the baseline for four BMP scenarios. Subareas G1, G2, R, S were set as default at 50 ha, 11 ha, 3  
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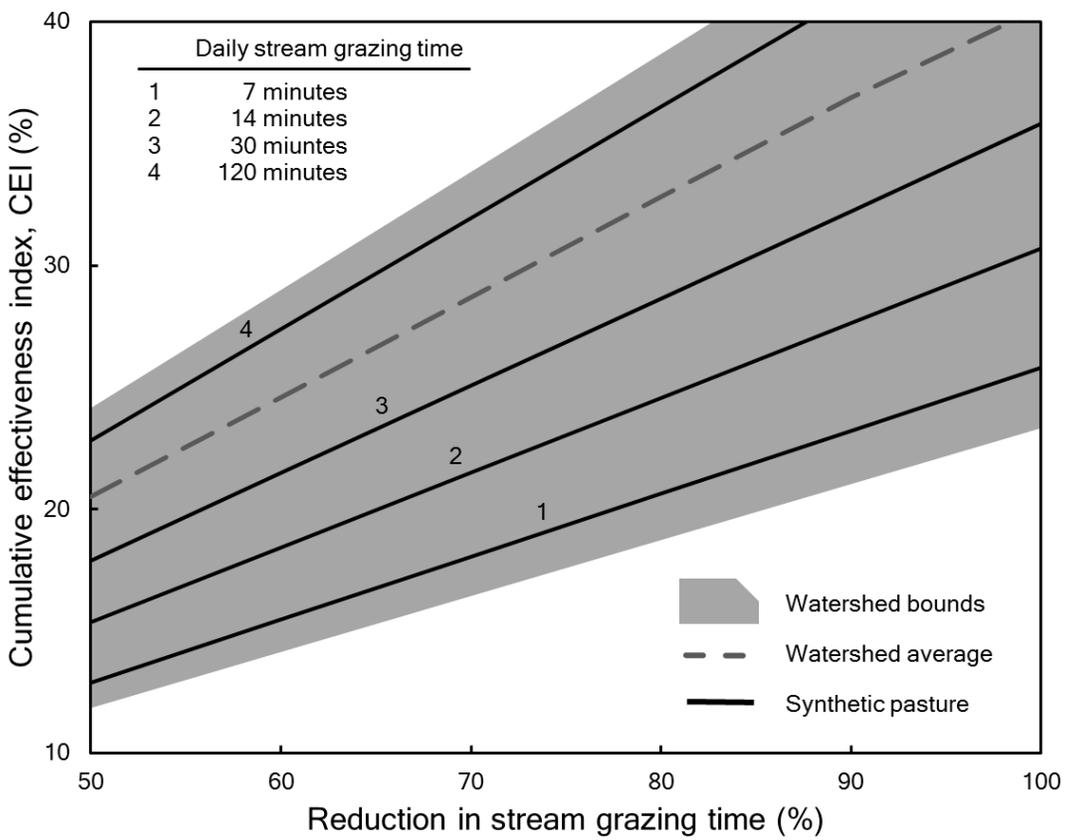
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**Fig. 3** Pasture BMP cumulative effectiveness index (CEI, %) for four BMP scenarios as a function of the percentage of subarea G1 in total grazing area.

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**Fig. 4** BMP cumulative effectiveness index (CEI, %) for WIN scenario in Pottawatomie Creek watershed and synthetic pasture. The CEI is calculated for various daily stream grazing times as a function of stream time reduction (based on increasing use of an alternative watering site). The shaded area and dashed line illustrate range and a mean of CEI for 403 pastures in Pottawatomie Creek watershed, while solid lines show CEI for synthetic pasture.

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**Table 1** SWAT management operation input parameters and their values within a grazing land (G1, G2) HRU and a riparian buffer (R) HRU (VAR means variable values).

Description	SWAT parameter	HRU	Value	Units
Grass type	PLANT_ID	G1,G2	38	—
Management operation	MGT_OP	G1,G2,R	9	—
Type of animals	MANURE_ID	G1,G2,R	9	—
Daily manure	MANURE_KG	G1,G2,R	VAR	kg/ha
Start of grazing	YEAR,MONTH,DAY	G1,G2,R	VAR	—
Number of grazing days	GRZ_DAYS	G1,G2,R	280	Days
Type of fertilizer	FRT_ID	G1,G2	23	—
Amount of fertilizer	FRT_KG	G1,G2	6.8	Kg
Biomass consumed daily	BIO_EAT	G1,G2,R	VAR	kg/ha
Biomass trampled daily	BIO_TRMP	G1,G2,R	VAR	kg/ha
Minimum dry biomass	BIOMIN	G1,G2	500	kg/ha
Initial pasture and buffer conditions	CN2	G1,G2,R	VAR	—

**Table 2** SWAT parameters adjusted during calibration runs in Pottawatomie Creek watershed.

SWAT parameter	Default value	Range tested	Calibrated value	Description
<b>Streamflow at watershed outlet</b>				
CN2	Varies	67 to 100	-5%	SCS curve number, antecedent moisture condition 2
ESCO	0.95	0 to 1	0.8	Soil evaporation compensation factor
SURLAG	4	1 to 12	1	Surface runoff lag coefficient
CANMX	0	--	Forest: 4.2*	Maximum canopy storage (mm H <sub>2</sub> O)
	0	--	Crops: 2.2*	
	0	--	Grass: 3.5*	
RCHRGDP	0.05	0 to 1	1	Deep aquifer percolation factor
SFTMP	1	-5 to 5	-1	Snowfall temperature (°C)
SMTMP	1	-5 to 5	4	Snowmelt temperature (°C)
<b>Pollutant concentrations at pasture edge</b>				
SOL_ORGN	0	850 to 8000	5000**	Initial organic N concentration in soil layer (ppm)
SOL_ORGP	0	150 to 5000	4000***	Initial organic P concentration in soil layer (ppm)
SOL_SOLP	0	250 to 1000	750	Initial soluble P concentration in soil layer (ppm)
BIOMIX	0	0 to 1	0.8	Biological mixing efficiency
BIOMIN	0	0 to 650	500	Minimum plant biomass for grazing (kg/ha)

\*Ouyang et al. (2010); \*\* Santhi et al. (2001); \*\*\* Richards et al. (2008)

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**Table 3** Observed streamflow, pollutant concentrations, and statistics for default and final calibration runs in Pottawatomie Creek watershed (n is the number of samples).

		NSE	R <sup>2</sup>	RSR	PBIAS
<b>Streamflow at watershed outlet</b>					
Mean observed flow (m <sup>3</sup> /s): 2.67 (Range: 0 - 172.8)					
Default run	Daily	-5.77	0.16	0.08	429.4%
	Monthly	-5.4	0.51	0.42	428.8%
	Annual	-5	0.5	0.3	400.0%
Mean calibrated flow (m <sup>3</sup> /s): 2.35 (0 - 139.9)					
Calibrated run	Daily	0.59	0.55	0.02	-11.9%
	Monthly	0.83	0.88	0.07	-12.2%
	Annual	0.8	0.85	0.05	-12.1%
<b>Pollutant concentrations at pasture edge (n=22)</b>					
Mean observed concentrations for TSS (tn/ha): 97 (14 - 299); TP (kg/ha): 3.2 (1.0 - 10.6); NO <sub>3</sub> -N (kg/ha): 1.3 (0.1 - 3.3)					
Default run	TSS	-0.21	0.2	0.28	-56.9%
	TP	-2	0.01	0.45	-98.9%
	NO <sub>3</sub> -N	-1.64	0.01	0.42	8.2%
Mean calibrated concentrations for TSS (tn/ha): 72 (3 - 178); TP (kg/ha): 2.9 (0 - 7.2); NO <sub>3</sub> -N (kg/ha): 1 (0 - 5.1)					
Calibrated run	TSS	0.2	0.44	0.23	-25.8%
	TP	-0.78	0	0.33	-8.4%
	NO <sub>3</sub> -N	-1	0.05	0.37	-18.9%