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The aggregation behavior of *Harmonia axyridis* in its native range in northeast China

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1 **The Aggregation Behavior of *Harmonia axyridis* in its Native**  
2 **Range in Northeast China**

3

4 **Abstract** *Harmonia axyridis* has become notorious as an urban pest in many of the  
5 regions where it has been introduced, despite its numerous contributions to the biological  
6 control of insects injurious to agriculture and horticulture. Aggregative behavior prior to  
7 overwintering leads to invasions of human habitations as beetles seek refuge from  
8 freezing temperatures. Here we describe the aggregation behavior of native *H. axyridis*  
9 populations of northeast China that breed in agricultural fields (mostly corn and rice) and  
10 shrub/forest habitats and then migrate through rural villages in autumn. More than  
11 140,000 beetles were collected during direct observations in 16 villages in five townships  
12 in Jilin Province. Beetles aggregated on dwellings shortly after agricultural harvests,  
13 favoring white walls with southern exposures, the largest aggregations occurring in  
14 villages in mountainous townships at higher elevations. The sex ratio was consistently  
15 female-biased and succinic phenotypes were more than twice as abundant as melanic  
16 phenotypes in all locations. A special trap compared the relative attractiveness of  
17 different surface colors (white > yellow = black > green > red = natural wood) and  
18 potential baits (corn pollen = honey > caramel = cocoa > milk = blank control). All  
19 aggregations disappeared abruptly just prior to the first frost, whereupon beetles were  
20 discovered sheltering in montane caves with southern aspects at higher elevations.  
21 Villagers reported substantial expenditures on pesticides in efforts to eliminate beetles  
22 from their homes every autumn. Invasion of human habitations appears to be an intrinsic  
23 tendency of native *H. axyridis* populations in China, which is the result of behavioral  
24 adaptations for cold-avoidance.

25

26 **Keywords:** aggregation, attraction, bait, Coleoptera, Coccinellidae, overwintering

27

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29

30

31 **Introduction**

32

33 The Asian multicolored lady beetle (aka Harlequin ladybird), *Harmonia axyridis*  
34 (Coleoptera: Coccinellidae), has been successfully utilized as a biological control agent in  
35 various agricultural contexts since the early 20<sup>th</sup> century (Gordan 1985; Colunga-Garcia  
36 and Gage 1998; Iperiti and Bertand 2001). Unfortunately, this euryphagous predator has  
37 also become an aggressive invasive species in regions where it has been introduced,  
38 either intentionally or inadvertently, and has impacted native ecosystems and non-target  
39 insects (Williamson 1996; Koch 2003; Kajita et al. 2006; Majerus et al. 2006; Soares et al.  
40 2008). A high degree of phenotypic plasticity and strong intraguild predation abilities are  
41 among the attributes that have contributed to invasive *H. axyridis* populations dominating  
42 assemblages of native coccinellid species (Brown and Miller 1998; Hesler et al. 2001;  
43 Alyokin and Sewell 2004; Hodek and Michaud 2008; Brown et al. 2008a,b; Lombaert et  
44 al. 2008). A paucity of natural enemies and effective intra-guild competitors, a high  
45 degree of environmental adaptability, and behavioral strategies for mitigating the impact  
46 of severe winter conditions are among other factors that appear to facilitate its range  
47 expansion in novel habitats (McClure 1987; Bazzocchi et al. 2004; Pell et al. 2008;  
48 Labrie et al. 2008).

49 In their native range, overwintering *H. axyridis* typically migrate up mountain  
50 slopes to moderate elevations and aggregate in natural shelters such as caves, usually  
51 selecting those with good exposure to the sun (Tanagishi 1976; Sakurai et al. 1993).  
52 Sometimes, many thousands of beetles will swarm together in refugia that mitigate their  
53 exposure to freezing conditions. The size, location and differential survival of these  
54 aggregations largely determine the local abundance of *H. axyridis* populations that return  
55 to nearby agricultural habitats the following spring. This general behavior is replicated in  
56 regions where *H. axyridis* is an invasive alien species and often results in swarms that  
57 invade human residences, sometimes in exceedingly large numbers (Kidd et al. 1995;  
58 Nalepa et al. 1996), resulting in the beetle's reputation as an urban pest. Home invasions  
59 by *H. axyridis* cause a range of nuisance problems: stained furniture, soiled surfaces  
60 (Nalepa et al. 2004) superficial bites (Kovach 2004) and even allergic reactions  
61 (Yarbrough et al. 1999; Goetz 2007). Research in the USA suggests that migration

62 begins in late autumn when temperatures approach 5 °C (Huelsman et al. 2004) and  
63 cumulative exposure to low temperature has been used to predict aggregative flight in  
64 Japan (Zenyogi 2008). High colour contrasts on the surface of buildings tends to attract  
65 migrating beetles (Nalepa et al. 2005). With the advent of invasive populations in Europe  
66 and elsewhere the species is alien, considerable research attention has been directed  
67 toward studying the biology and behavior of *H. axyridis* in these novel habitats (Keins et  
68 al. 2008; Pell et al. 2008; Soares et al. 2008). Apart from some work in Japan (Osawa  
69 2001), little is known about the overwintering behavior of indigenous *H. axyridis*  
70 populations in Asia compared to what is known of invasive populations in alien regions.

71 The aim of the present investigation was to characterize the migration and  
72 aggregation behavior of *H. axyridis* overwintering populations in a mountainous region  
73 of northeast China. Jilin Province is an important agricultural region comprised of a  
74 sweeping plateau of cultivated fields in the west that slopes upward toward forested  
75 mountains in the east. Previous studies have examined the phenotypic composition of  
76 overwintered *H. axyridis* aggregations in this and other regions of China. For example,  
77 Yuan et al. (1994), catalogued 164 different succinic phenotypes and 12 melanic forms in  
78 a survey of the central and western parts of Jilin province. Other surveys have found that  
79 succinic phenotypes tend to outnumber melanics in northern Chinese provinces (Jing et al.  
80 2001; Jiang et al. 2007). Our study focused on rural areas surrounding Jilin City in the  
81 center of Jilin province in the transition zone between the agricultural belt and more  
82 mountainous regions subject to natural conservation. Preliminary observations indicated  
83 high densities of *H. axyridis* in major field crops of the region (rice, corn and soybean)  
84 and the potential for impacts of overwintering beetles in nearby towns and villages. We  
85 began our study of *H. axyridis* migration and aggregation in mid-September to coincide  
86 with the completion of harvest, on the assumption that the abrupt drop in food availability  
87 for beetles would trigger their departure from agricultural habitats. We considered sex  
88 ratio, phenotype ratio (succinic: melanic), temporal variation in arrival of colour morphs,  
89 the character of surrounding landscape, and additional geographic factors. The local  
90 density of human inhabitants was estimated as metric of village size to test for any  
91 relationship with beetle aggregations. A special trap was employed to evaluate the  
92 relative attractiveness of various visual, tactile, and olfactory stimuli. Finally, the impacts

93 of invading *H. axyridis* aggregations on local residents were estimated by use of  
94 interviews and distribution of a questionnaire.

95

## 96 **Materials and Methods**

97

### 98 Study sites

99

100 Surveys of *H. axyridis* overwintering populations were conducted during the period from  
101 11 September to 10 October, 2009 in Jiaohe and Yongji counties in Jilin province, China.  
102 Sixteen villages were selected for sampling, 10 in Jiaohe County and five in Yongji  
103 County (Fig. 1). The villages were separated by an arbitrary minimum distance of 1.0 km  
104 to ensure they represented independent observation points. The landscape surrounding  
105 villages ranged from mostly agricultural (categorized by the predominant crop as either  
106 corn fields or rice paddies) to mostly natural (categorized by the predominant woody  
107 vegetation as either scrubland or forest). The towns of Lafa and Qingling occur within  
108 nationally protected nature conservancies where there is little agriculture, but  
109 considerable disturbance from tourism.

110 Global position data were collected for each site using a handheld GPS receiver.  
111 The habitats surrounding each village to a radius of 5.0 km were digitized using ARC  
112 GIS 8.3 (Ormsby et al., 2004). Four primary landscape types were resolved from digital  
113 images: cultivated fields dominated by either corn or rice, and natural vegetation  
114 dominated by either trees or shrubs. The altitude of each village was measured at the  
115 spatial coordinate for its central point. The geographic characteristics of the sampled  
116 villages are reported in Table 1. For purposes of analysis, we divided villages into three  
117 categories according to their elevation: low (200 – 270m ASL), medium (271 – 340m),  
118 and high (> 340m). Villages were divided into two categories according to population  
119 density: small (< 100 inhabitants) or large (> 100).

120

### 121 Direct sampling of adults

122

123 In each village, white houses with an open southern exposure were selected for sampling

124 *H. axyridis* on their outer walls between the hours of 14:00 and 17:00 when these  
125 surfaces were under direct insolation. Ten independent observation points were  
126 established in each village, each separated by a minimum distance of 100 m. At each  
127 sampling site, a white plastic board (1.0 m<sup>2</sup>) with a roughened surface was stuck to the  
128 wall and a raised plastic frame (3.0 cm high) was mounted around the perimeter of the  
129 board (Fig. 2). As beetles tended to land toward the center of the board and then  
130 accumulate in the corners, we collected them as they passed through the two trapezoidal  
131 regions using a small insect net. Boards were mounted on each house at 9:00 h and  
132 removed shortly after sunset. Beetles were collected continuously for a 3 h period, from  
133 14:00 to 17:00 h, once at each of ten different observation sites in each of the 16 villages.

134 Samples of live beetles obtained from direct collection were transferred to plastic  
135 boxes (45 × 30 × 30 cm, ca. 1200 beetles per box). Each box was covered with fabric  
136 (19.2 holes / cm<sup>2</sup>) to permit ventilation and provisioned with milk popcorn as a food  
137 supplement. All samples were sent by airmail to the Entomology Laboratory of the  
138 Institute of Plant and Environment Protection, Beijing Academy of Agriculture and  
139 Forestry Sciences where they were examined within 48h of arrival. Three types of data  
140 were recorded for all experiments: total number of beetles (caught by netting or in traps),  
141 sex ratio, and the ratio of succinic to melanic phenotypes. All phenotypes in which the  
142 background colour of the elytra was dark were categorized as melanic; all in which the  
143 background colour was some shade of yellow, orange or red were categorized as succinic.  
144 Beetles were sexed according to the colour of the clypeus, which is significantly paler in  
145 males.

146

#### 147 Trapping

148

149 At 15 of the 16 sampling locations, we installed traps made of wood and polyurethane in  
150 order to collect *H. axyridis* adults over specified time intervals and to test the  
151 attractiveness of trap attributes and potential food resources when placed in the bottom of  
152 the trap chamber (Fig. 3). Extensive construction activity prevented trapping in Hou-  
153 baliqi village. Two baffle plates were installed in the interior of the chamber to prevent  
154 beetles escaping and a layer of soft sponge covered the bottom. Traps were installed on

155 southern-facing external house walls at 9:00 a.m. on each sampling day and beetles were  
156 collected between 4:00 and 5:00 p.m. by dropping the hinged floor of the trap and  
157 emptying the contents into containers. Tests of surface colour, surface texture and food  
158 baits were performed at each sampling location on each of three successive days in each  
159 village.

160

#### 161 *Comparison of trap surface colors*

162

163 Since the assembly of insects on surfaces can be correlated with the background colour  
164 (Marshall 2006), we tested six trap surface colours: yellow, red, black, white, green and  
165 natural wood. Honey was used as an attractant and all surfaces were coated with a layer  
166 of varnish. The six traps of different colours were tested on a single day at each sampling  
167 location by mounting each trap on the southern-facing wall of a different house, each  
168 separated by a minimum distance of 10 metres.

169

#### 170 *Comparison of trap surface textures*

171

172 We tested various surface textures for effects on beetle assembly including varnished  
173 natural wood (smooth), granular (rough) and corrugated (ridged). The traps in this  
174 experiment were white in colour and used honey as an attractant. Three traps, each of a  
175 different surface texture, were tested on a single day at each sampling location by  
176 mounting each trap on the southern-facing wall of a different house, each separated by a  
177 minimum distance of 10 metres.

178

#### 179 *Comparison of food baits*

180

181 To compare the relative attractiveness of different materials to *H. axyridis* adults, we  
182 conducted a series of experiment using honey, cocoa, milk, corn pollen and caramel as  
183 potentially attractive resources, with water as a control. Each material was tested once at  
184 each observation site in a white trap. Times of collection and replication were the same  
185 as for surface colour trials. The traps containing different baits were tested on a single

186 day at each sampling location by mounting each trap on the southern-facing wall of a  
187 different house, each separated by a minimum distance of 10 metres.

188

189 Assessment of urban impact

190

191 To estimate the numbers of beetles invading homes, residents of cooperating households  
192 were requested to count all beetles within a window frame (1.5 m x 1.5 metres on one  
193 afternoon during the period of peak beetle activity, sometime between 1:00 and 3:30 p.m.

194 Interviews were conducted with inhabitants of the sampled villages to assess the  
195 impact of overwintering *H. axyridis* on the urban population. Residents were shown  
196 representative specimens of common coccinellid species so as to be able to distinguish  
197 species (*Coccinella septempunctata*, *H. axyridis*, *Hippodamia variegata*, *Propylea*  
198 *japonica*). The following questionnaire was distributed:

- 199 1) What is the major crop planted on your farm?
- 200 2) What was the approximate harvest date of the major crops?
- 201 3) What was the date on which *H. axyridis* aggregations appeared?
- 202 4) What was the date on which *H. axyridis* aggregations disappeared?
- 203 5) Do beetles enter your home and cause nuisance problems?

204

205 Statistical analysis

206

207 The numbers of adults sampled directly at each observation site were analyzed using a  
208 nested ANOVA design to resolve the respective effects of 'township' and 'village'. When  
209 more than two groups were compared, means were separated by Fisher's LSD when  
210 sample sizes were equal, and by [Duncan's Multiple Range Test](#) when they were not. A  
211 binomial test was used to test sex and phenotype ratios for asymmetry. A 3-way ANOVA  
212 was used to analyze results with landscape type, elevation, and human population density  
213 as independent variables. A one-way ANOVA was used to compare trap catches,  
214 followed by Fisher's LSD test to separate means of different trap types.

215

216 **Results**



217

218 Direct sampling of adults

219

220 A total of 144,528 adult *H. axyridis* were collected during direct observations. The  
221 nested ANOVA revealed significant effects of 'township' ( $F_{4,15} = 50.41$ ;  $df = 4,15$ ;  $P <$   
222  $0.0001$ ) and 'village (township)' ( $F_{4,11} = 9.90$ ;  $P < 0.0001$ ) on the numbers of beetles  
223 collected during direct sampling, the ratio of succinic: melanic phenotypes ( $F_{4,15} = 4.52$ ;  
224  $P = 0.0018$  and  $F_{4,11} = 3.85$ ;  $P < 0.0001$ , respectively), the numbers of beetles intrusive  
225 in residences ( $F_{4,15} = 86.34$ ;  $P < 0.0001$  and  $F_{4,11} = 39.28$ ;  $P < 0.0001$ , respectively), but  
226 the effects of location on sex ratio were only marginally significant ( $F_{4,15} = 2.30$ ;  $P =$   
227  $0.0617$  and  $F_{4,11} = 1.83$ ;  $P = 0.0544$ , respectively).

228 The mean values of dependent variables and their standard errors are reported for  
229 each village in Table 2. On the basis of these data, beetle landing rates averaged  $2.5 / \text{m}^2$   
230 / min over all observation periods, although this is certainly an underestimate considering  
231 that not all beetles landing on the sampling board were successfully collected.

232 Comparing the mean number of beetles collected per site among townships ( $F_{4,15} = 30.77$ ;  
233  $P < 0.0001$ ), the mountainous townships of Qingling and Lafa yielded the highest counts  
234 ( $568.5 \pm 193$  and  $541.4 \pm 28.50$ ), followed by Chaluhe ( $417.3 \pm 8.8$ ) which was not  
235 different from Xinnong ( $412.5 \pm 9.5$ ) which, in turn, was not different from Jinjia ( $370.9$   
236  $\pm 11.8$ ), the locality that had the earliest agricultural harvest, beginning in September  
237 (Duncan's MRT,  $\alpha = 0.05$ ).

238 There were significant effects of 'landscape' and 'elevation' on the numbers of  
239 beetles collected, and the 'landscape\*elevation' interaction was significant (Table 3). The  
240 latter interaction occurred because landscape varied significantly with elevation ( $F_{3,156} =$   
241  $94.89$ ;  $P < 0.0001$ ) and beetle numbers increased significantly with elevation ( $F_{2,157} =$   
242  $65.57$ ;  $P < 0.0001$ ;  $r^2 = 0.293$ ). The number of beetles collected (means  $\pm$  SE) varied  
243 with landscape type as follows: shrubland ( $643 \pm 24$ ) > forest ( $523 \pm 21$ ) > corn ( $428 \pm 10$ )  
244 = rice ( $395 \pm 12$ ). There was no significant effect of 'population density', nor was there  
245 any significant interaction between 'population density' and any other independent  
246 variable. Shrubland had the highest mean counts of *H. axyridis* but this landscape type  
247 was represented by only a single village. Forest landscape, with three villages

248 represented, had the next highest counts, followed by the two agricultural landscapes,  
249 corn fields and rice paddies, that were not significantly different from one another  
250 (Duncan's MRT,  $\alpha = 0.05$ ).

251 Landscape also had a strong effect on the number of beetles intrusive in  
252 residences, whereas elevation had a small effect, population density had no effect and the  
253 landscape\*elevation interaction was highly significant, once again because these  
254 variables were not entirely independent (Table 4). The number of intrusive beetles was  
255 positively correlated with the proportion of succinic phenotypes in outdoor samples  
256 ( $F_{1,158} = 42.91$ ;  $P < 0.0001$ ;  $r^2 = 0.214$ ).

257 Results of binomial tests revealed that the phenotype ratio was significantly  
258 biased in favor of succinics in all five townships ( $P_{(2\text{-tailed})} < 0.001$  in all cases).  
259 Landscape was the only independent variable to have a significant effect on phenotype  
260 ratio in a 3-way ANOVA ( $F_{3,156} = 11.385$ ;  $P < 0.001$ ), and this was only because of the  
261 effect of the forest village of Erdaogou in Qingling Township which had more than twice  
262 the ratio of succinics to melanics compared with any other landscape type. Sex ratios  
263 were consistently female-biased in all five townships ( $P_{(2\text{-tailed})} < 0.001$  in all cases).  
264 Apart from a marginal effect of elevation on sex ratio ( $F_{2,157} = 2.96$ ;  $P = 0.055$ ), no other  
265 independent variable had a significant effect (landscape:  $F_{3,156} = 0.37$ ;  $P = 0.777$ ;  
266 population density:  $F_{1,158} = 0.05$ ;  $P = 0.822$ ), so a 3-way ANOVA was not performed.  
267 The number of beetles tallied as intrusive during observations within residences was  
268 correlated with counts of beetles tallied in outdoor aggregations ( $F_{1,158} = 131.52$ ;  $P <$   
269  $0.0001$ ;  $r^2 = 0.451$ ).

270

271 Trapping

272

273 *Comparison of trap surface colours*

274

275 Surface coloration significantly influenced trap catches ( $F_{5,84} = 54.47$ ;  $P < 0.0001$ ) and  
276 the ratio of phenotypes caught ( $F_{5,84} = 2.45$ ;  $P = 0.041$ ). Bright white was more  
277 attractive to *H. axyridis* than other colours, with yellow and black the next most attractive  
278 (Fig. 4). Red and natural wood were the least attractive background colors, with green

279 intermediate. All colours attracted more succinic beetles than melanics ( $P_{(2\text{-tailed})} < 0.001$   
280 in all cases), because succinic beetles were more than twice as abundant as melanics at all  
281 locations.

282

### 283 *Comparison of trap surface textures*

284

285 Surface texture did not affect the total numbers of beetles caught ( $F_{2,42} = 1.12$ ;  $P = 0.336$ )  
286 and succinic beetles outnumbered melanics on all surfaces ( $P_{(2\text{-tailed})} < 0.001$  in all cases).  
287 Whereas traps with smooth and granulated surfaces caught three times as many succinic  
288 beetles as melanics, traps with ridged surfaces caught 4.5 times as many, a significantly  
289 greater proportion ( $F_{2,42} = 3.33$ ;  $P = 0.045$ ; Fisher's LSD;  $\alpha = 0.05$ ).

290

### 291 *Comparison of food baits*

292

293 The type of food resource offered in traps significantly affected the numbers of beetles  
294 caught ( $F_{5,84} = 86.52$ ;  $P < 0.001$ ). Honey and corn pollen were the most attractive baits,  
295 followed by caramel and cocoa, whereas milk was no more attractive than the water  
296 control (Fig. 5). The ratio of succinic to melanic phenotypes was not affected by the type  
297 of bait ( $F_{5,84} = 1.65$ ;  $P = 0.157$ ), although succinics outnumbered melanics in every  
298 treatment ( $P_{(2\text{-tailed})} < 0.001$  in all cases).

299

### 300 *Urban impact of *H. axyridis* aggregations*

301

302 It should be noted that our observations and survey data were restricted to villages where  
303 we found evidence of *H. axyridis* aggregations and do not include other villages where *H.*  
304 *axyridis* was not problematic, for whatever reason. Data on the appearance and  
305 disappearance of *H. axyridis* aggregations in rural villages and the summary of responses  
306 to survey questions are reported in Table 5. Migration from low-lying agricultural fields  
307 occurred about two weeks later than that from scrubland and forest habitats. Anecdotal  
308 records kept by local residents over the past five years indicated that beetles usually  
309 began aggregating in villages after 1 September and later migrated to higher

310 mountainous regions before the first frost. In our survey, beetles left residences and  
311 disappeared *en masse* on 3 October, the day immediately prior to the first frost. At this  
312 time, large numbers of *H. axyridis* adults were found at higher elevations in montane  
313 habitats to the east.

314 Typical village residences are constructed of brick with windows and doors  
315 framed in wood and *H. axyridis* adults easily penetrated these structures through gaps  
316 around the framing. Intrusions by *H. axyridis* occurred throughout daylight hours, with  
317 peak periods of entry reported in to occur in the morning and evening. Of a total of 1481  
318 village residents responding to the survey questionnaire, 1456 (98.3%) reported that  
319 beetles invaded their homes and caused nuisance problems. Almost every household  
320 resorted to insecticide applications of some form in attempts to control or prevent home  
321 invasions, often at considerable expense. However, most of the materials applied were  
322 formulated for control of household pests or mosquitos and were not considered effective  
323 in deterring *H. axyridis* aggregations or preventing their entry into habitations.

324

## 325 **Discussion**

326

327 Factors influencing beetle abundance in villages appeared to act at landscape scale more  
328 than at a local level, as reflected by the fact that ‘township’ accounted for substantially  
329 more variation in number of beetles than did ‘village’ in the nested ANOVA. This was  
330 consistent with effects of landscape type on beetle counts and the numbers of beetles  
331 intrusive in residences, since landscape type varied among townships. Human population  
332 density, reflecting the relative size of villages, had no discernable effect on any dependent  
333 variable. Natural landscapes (shrubland and forest) were associated with higher  
334 elevations and greater beetle counts than agricultural landscapes that were dominated by  
335 corn fields and rice paddies. Agricultural crops might be expected to generate abundant  
336 aphid populations for beetle reproduction, but although *H. axyridis* is known to be active  
337 in corn, it is not reported to forage in rice. However, it clearly has an inherent  
338 predilection for woody shrubs and trees, as reflected in its biological control  
339 contributions in arboreal habitats such as apple orchards (Brown and Miller 1998), pecan  
340 orchards (Mizell 2007), citrus groves (Michaud 2002), and stands of coniferous trees

341 (McClure 1987; Berthiaume et al. 2007).

342         The onset of *H. axyridis* migration from the countryside into villages typically  
343 lagged the harvest date of the primary local crops by only a few days (Table 5). The size  
344 of local aggregations was correlated with the numbers of beetles observed to be intrusive  
345 in houses, even though these likely varied somewhat with ease of entry into particular  
346 houses. Nalepa et al. (2000) dismissed any significant role of pheromones in preserving  
347 *H. axyridis* annual fidelity to particular overwintering sites, but rather implicated  
348 accumulations of feces, residues, and contact chemical cues from conspecifics. Despite  
349 successfully entering residences in substantial numbers, the beetles did not remain inside  
350 them for more than a week or two before continuing their migration to ‘natural’  
351 overwintering sites at higher elevations (shallow caves, crevices and rocky depressions  
352 on montane slopes with southern aspects). Populations of *H. axyridis* that are invasive in  
353 temperate regions of Europe and North America often attempt to remain indoors for the  
354 entire winter (Labrie et al. 2008; Berkvens et al. 2010); however, this is typical of regions  
355 where there are no mountains to draw beetles to higher elevations. Similarly, in less  
356 mountainous regions of China such as Shandong Province, beetle aggregations may  
357 remain problematic in residences throughout the winter (Wang Su, unpublished).

358         Female-biased sex ratios have been reported for Japanese populations of *H.*  
359 *axyridis* (Osawa 2001) and various post-zygotic factors have been implicated, including  
360 differential overwintering mortality (Osawa 2001) and infection with male-killing  
361 bacteria (Majerus et al. 1998). Even a small discrepancy in the developmental time of  
362 male and female eggs could result in differential mortality as a consequence of sibling  
363 egg cannibalism (Osawa 2002; Michaud and Grant 2004). The preponderance of succinic  
364 morphs over melanics was not unexpected given previous reports (Jing et al. 2001; Jiang  
365 et al. 2007) However, autumn sampling at similar latitudes around Beijing indicated that  
366 melanics tended to increase in frequency in the fall due to assortative mating until they  
367 occurred at frequencies similar to succinics (Wang et al. 2009).

368         The most adverse impact of invasive *H. axyridis* populations has been their  
369 procivility to enter buildings, especially human habitations, and this has driven research  
370 into attractants, repellents and various tactics aimed at trapping, killing or excluding them  
371 (Kenis et al. 2008). The orientation of *H. axyridis* to buildings, especially those in

372 prominent locations such as hill tops (Obata 1986), is consistent with visual orientation to  
373 prominent landmarks, or ‘macrosites’, in the initial stages of aggregation (Nalepa et al.  
374 2005). cursory inspection revealed that aggregations of *H. axyridis* tended to form on  
375 white, exterior walls with southern exposure, hence our selection of these locations for  
376 the trapping experiments. Although different surface textures (smooth, granulated or  
377 ridged) had no apparent effect on alightment behavior, tests with various trap colours  
378 yielded differences in trap catches via apparent effects on landing frequency. Our results  
379 were very similar to those of Obata (1986) and confirmed that a white surface attracted  
380 more beetles than any other colour. Nalepa et al. (2005) conducted experiments to  
381 demonstrate the importance of visual contrast in close range orientation, rather the colour  
382 white *per se*, and challenged the results of Obata (1986) on the basis that background  
383 contrast was not controlled in those colour trials. However, our results are not entirely  
384 consistent with this view; since all our tests were conducted on a white background, the  
385 dark coloured traps would have presented higher contrast than white traps, but they  
386 collected fewer beetles. If linear contrasts, in specific, are important, as Nalepa et al.  
387 (2005) propose (presumably dark lines on a pale background conform to the shape of  
388 cracks and crevices that may provide shelter), then the rectangular trap may not have  
389 provided the right shape or scale of contrast to increase attraction to dark colours on a  
390 white background. The fact that both yellow and black traps attracted similar numbers of  
391 beetles, second only to white, suggests that beetles may respond to different colours for  
392 different reasons. For example, very light colours such as white and yellow may be  
393 intrinsically attractive because of their high reflectivity, whereas black and patterns of  
394 linear contrast may be attractive because they are indicative of potential shelter.

395         Trials in which traps were baited with potential food resources confirmed a  
396 positive response to honey and corn pollen, materials well-recognized as important  
397 supplementary foods for many aphidophagous coccinellids (Hodek, 1996). Since the  
398 baits were concealed within the traps, an olfactory response to these materials can be  
399 inferred, presumably both pre- and post-alightment. From a practical perspective, the  
400 efficiency of any traps installed to collect and remove *H. axyridis* aggregations could be  
401 significantly improved by baiting with either of these materials, an approach now  
402 commonly referred to as ‘attract and kill’ when combined with insecticides.

403           The invasion of dwellings by *H. axyridis* is a strongly seasonal behavior and  
404 appears to be driven by cold avoidance in the fall. Although *H. axyridis* acclimates to  
405 falling temperatures with freeze-avoidant physiological mechanisms typical of many  
406 insects, including reduced water content, accumulation of polyols, and altered enzymatic  
407 activities, a considerable acclimation period is required for beetles to achieve their lowest  
408 supercooling points and substantial cold-induced mortality may occur even though they  
409 do not freeze (Watanabe 2002; Zhao et al. 2008; 2010). Berkvens et al. (2010)  
410 demonstrated that the supercooling points of beetles overwintering indoors in Belgium  
411 were considerably elevated compared to those overwintering outdoors and suggested that  
412 this could lead to significant mortality if indoor beetles became active prematurely.  
413 Similarly, Schaefer (2004) observed substantial overwintering mortality in aggregations  
414 forming annually in an unheated concrete observation tower that lacked insulation. The  
415 tendency to enter buildings is likely an adaptation to avoid cold-induced mortality during  
416 quiescence. Thus, *H. axyridis* remains a successful alien invader in northern latitudes  
417 such as Quebec, Canada, despite an inability to overwinter successfully outdoors (Labrie  
418 et al. 2008).

419           Our results demonstrate that aggregation and invasion of buildings are intrinsic  
420 propensities of this species within its native range, rather than emergent traits of invasive  
421 alien populations. While these findings underscore the importance of obtaining a  
422 complete behavioral profile of biological control agents prior to their introduction to  
423 exotic locations, even modern standards for assessing candidate predator species for  
424 introduction would not necessarily reveal such adverse behavior, since most criteria are  
425 based on diet breadth and predatory behavior observed under laboratory conditions. The  
426 undeniable biological control contributions of *H. axyridis* to agriculture are now largely  
427 offset by the nuisance impact of this species in urban environments, diminishing general  
428 public perception of lady beetles as beneficent agents and generating adverse publicity  
429 for biological control efforts. However, the appropriate placement of suitably designed  
430 and baited traps may prove to be a viable tactic for collecting aggregations of beetles in  
431 locations where they are consistently problematic.

432

433   **Acknowledgments**

434

435 **References**

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**Table 1.** Geographic and demographic information for sampled villages. Population was recorded as the number of year-round residents in a village, obtained from the most recent local government records.

County	Township	Village	Latitude	Longitude	Elevation (m ASL)	Population	Predominant Vegetation
Jiaohe	Xinnong	Xiashitouhezi	43°39'N	127°17'E	264	130	Corn fields
		Banlawoji	43°38'N	127°16'E	262	145	Corn fields
		Niu-a	43°40'N	127°17'E	265	266	Corn fields
		Paoziye	43°38'N	127°16'E	254	242	Corn fields
		Qijianfang	43°37'N	127°16'E	275	185	Corn fields
	Lafa	Minzhu-tun	43°49'N	127°23'E	440	145	Forest
		Liangzi-tun	43°47'N	127°24'E	309	113	Forest
	Qingling	Xiadian	43°43'N	126°52'E	353	262	Scrubland
		Erdaogou	43°42'N	126°52'E	417	254	Forest
		Bei-liushugou	43°45'N	127° 02'E	339	203	Corn fields
Yongji	Jinjia	Dayanggo	43°35'N	125°57'E	249	144	Rice paddies
		Hanjiago	43°36'N	125°59'E	293	272	Rice paddies
		Huangqibao	43°39'N	125°59'E	235	133	Rice paddies
	Chaluhe	Xiwopeng	43°43'N	125°55'E	200	260	Rice paddies
		Qian-baliqi	43°42'N	125°55'E	203	140	Corn fields
		Hou-baliqi	43°41'N	125°57'E	206	225	Corn fields

**Table 2.** Mean numbers ( $\pm$  SE) of *H. axyridis* adults collected in three hours of sampling from the outer walls of each of 10 houses in each of sixteen villages located in five different townships in Jilin Province, China, their phenotype ratios, sex ratios and the numbers observed within residences during a single mid-afternoon window observation (No. intrusive).

Township	Village	No. beetles	Succinic: melanic	Female: male	No. intrusive
Xinnong	Xiashitouhezi	402.9 $\pm$ 12.6	3.2 $\pm$ 0.7	2.3 $\pm$ 0.1	47.5 $\pm$ 3.0
	Banlawoji	364.3 $\pm$ 10.9	2.7 $\pm$ 0.6	2.0 $\pm$ 0.1	40.1 $\pm$ 2.2
	Niu-a	352.4 $\pm$ 15.3	3.1 $\pm$ 0.5	1.9 $\pm$ 0.1	38.2 $\pm$ 1.9
	Paoziye	447.2 $\pm$ 11.8	3.0 $\pm$ 0.8	1.5 $\pm$ 0.1	46.4 $\pm$ 2.2
	Qijianfang	495.7 $\pm$ 15.8	3.2 $\pm$ 0.4	1.5 $\pm$ 0.1	50.3 $\pm$ 2.1
Lafa	Minzhu-tun	617.7 $\pm$ 22.4	2.7 $\pm$ 0.5	1.9 $\pm$ 0.2	53.0 $\pm$ 2.7
	Liangzi-tun	465.1 $\pm$ 40.3	1.9 $\pm$ 0.3	1.9 $\pm$ 0.2	40.9 $\pm$ 4.1
Qingling	Xiadian	643.3 $\pm$ 24.2	6.5 $\pm$ 0.6	2.0 $\pm$ 0.1	131.2 $\pm$ 8.1
	Erdaogou	487.3 $\pm$ 28.3	2.5 $\pm$ 0.3	2.2 $\pm$ 0.1	47.1 $\pm$ 3.3
	Bei-liushugou	574.9 $\pm$ 29.5	2.9 $\pm$ 0.3	2.1 $\pm$ 0.1	64.5 $\pm$ 3.3
Jinjia	Dayanggo	401.3 $\pm$ 16.0	2.6 $\pm$ 0.2	2.1 $\pm$ 0.3	42.6 $\pm$ 1.6
	Hanjiago	308.4 $\pm$ 17.2	2.6 $\pm$ 0.6	1.7 $\pm$ 0.3	35.5 $\pm$ 2.1
	Huangqibao	402.9 $\pm$ 11.9	2.3 $\pm$ 0.4	2.1 $\pm$ 0.3	44.5 $\pm$ 1.6
Chaluhe	Xiwopeng	467.6 $\pm$ 22.2	3.5 $\pm$ 0.5	2.3 $\pm$ 0.1	51.2 $\pm$ 2.5
	Qian-baliqi	382.0 $\pm$ 14.8	2.8 $\pm$ 0.4	2.2 $\pm$ 0.1	39.3 $\pm$ 1.6
	Hou-baliqi	402.3 $\pm$ 16.9	2.4 $\pm$ 0.3	2.1 $\pm$ 0.1	40.8 $\pm$ 0.8
Grand means		451.0 $\pm$ 8.8	3.0 $\pm$ 0.1	2.0 $\pm$ 0.05	50.8 $\pm$ 1.9

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**Table 3.** Three-way ANOVA results for effects of landscape, elevation and human population density on the number of beetles obtained through direct collection in sixteen villages in Jilin Province, China.

Source of variation	df	Mean square	F	P
Landscape	3	88769.636	19.456	< 0.001
Elevation	2	61170.441	13.407	< 0.001
Population density	1	2.853	0.001	0.980
Landscape $\times$ elevation	1	333600.053	73.116	< 0.001
Landscape $\times$ population density	1	10604.328	2.324	0.129
Elevation $\times$ population density	1	14245.004	3.122	0.079
Landscape $\times$ elevation $\times$ population density	0	-	-	-
Error	149	4562.642		
Total	160			

**Table 4.** Three-way ANOVA results for effects of landscape, elevation and human population density on the number of beetles intrusive in residences in sixteen villages in Jilin Province, China.

Source of variation	df	Mean square	<i>F</i>	<i>P</i>
Landscape	3	12402.02	123.87	< 0.0001
Elevation	2	335.54	3.35	0.038
Population density	1	339.00	3.38	0.068
Landscape × elevation	1	4423.68	44.12	< 0.001
Landscape × population density	1	306.57	3.06	0.082
Elevation × population density	1	810.34	8.08	0.005
Landscape × elevation × population density	0	-	-	-
Error	149	100.27		
Total	160			

Table 5. Summary of responses to survey questions by residents of rural villages in Jilin Province, China.

Township	Village	N*	Major crops	Harvest dates	Date of <i>H. axyridis</i> urban appearance	Date of <i>H. axyridis</i> disappearance	Are beetles a nuisance in your home? (% "yes" answers)
Xinnong	Xiashitouhezi	92	corn & rice (80) vegetables (12)	corn: 12 – 18 September rice: 13 – 27 September	17 – 27 September	1 – 5 October	98.9 ± 1.09
	Blanlawoji	84	corn & rice (82) ornamentals (2)	corn: 13 – 18 September rice: 13 – 26 September	15 – 23 September	1 – 5 October	95.2 ± 2.33
	Niu-A	98	corn & rice (76) vegetables (22)	corn: 13 – 17 September rice: 13 – 26 September	17 – 26 September	2 – 5 October	100.0
	Paoziye	100	corn & rice (90) vegetables (10)	corn: 14 – 17 September rice: 13 – 26 September	15 – 28 September	1 – 6 October	100.0
	Qijianfang	94	corn & rice (83) vegetables (11)	corn: 12 – 17 September rice: 13 – 27 September	16 – 24 September	1 – 5 October	98.9 ± 1.08
Lafa	Minzhu-tun	88	corn & rice (13) medicinal herbs (75)	herbs: 4 – 21 September	17 – 27 September	1 – 2 October	97.7 ± 1.60
	Liangzi-tun	95	corn & rice (11) ginger (84)	herbs: 3 – 24 September	19 – 26 September	1 October	100.0
Qingling	Xiadian	95	corn & rice (10) vegetables (85)	vegetables: 15 – 18 September	17 – 27 September	1 October	95.8 ± 2.06
	Erdaogou	98	corn & rice (15) medicinal herbs (83)	herbs: 10 – 18 September	13 – 14 September	1 – 2 October	100.0
	Beiliushugou	80	corn & rice (7) medicinal herbs (73)	herbs: 11 – 15 September	15 – 19 September	1 – 2 October	100.0
Jinjia	Dayanggou	88	corn & rice (78) vegetables (10)	corn: 10 – 16 September rice: 14 – 27 September	14 – 25 September	1 – 4 October	92.0 ± 2.89
	Hanjiagou	95	corn & rice (76) ornamentals (19)	corn: 9 – 14 September rice: 16 – 27 September	12 – 23 September	1 – 4 October	97.9 ± 1.47
	Huangqibao	104	corn & rice (85) ornamentals (19)	corn: 10 – 15 September rice: 14 – 27 September	12 – 23 September	2 – 5 October	98.1 ± 1.34
Chaluhe	Xiwopeng	89	corn & rice (69) ornamentals (30)	corn: 13 – 16 September rice: 17 – 27 September	12 – 23 September	2 – 5 October	100.0
	Qian-baliqi	87	corn & rice (79) vegetables (8)	corn: 11 – 16 September rice: 14 – 27 September	13 – 23 September	1 – 3 October	97.7 ± 1.61
	Hou-baliqi	94	corn & rice (86) vegetables (8)	corn: 14 – 18 September rice: 13 – 27 September	12 – 23 September	1 – 4 October	100.0

\* = no. respondents.



592 **Figure 1.** Map of Jilin Province, PRC, showing location of villages where *H. axyridis*  
593 aggregations were observed and sampled.

594

QuickTime™ and a  
decompressor  
are needed to see this picture.

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597 **Figure 2.** Diagram of sampling board (1.0 m<sup>2</sup>) used for direct collection of aggregating *H.*  
598 *axyridis* adults. The triangular black regions in opposite corners were 40 x 40 x 57 cm (800 cm<sup>2</sup>),  
599 and the two gray trapezoidal regions directly adjacent to them were each 736 cm<sup>2</sup> in area.  
600 Beetles were collected with a small hand-held insect net as they passed through the trapezoidal  
601 regions.  
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604 **Figure 3.** Diagram showing dimensions and design of the traps used to catch aggregating *H.*  
605 *axyridis* adults. Traps were constructed of wood with a hinged floor to facilitate removal of  
606 beetles. The exterior roof and wall surfaces were painted different colors or modified with  
607 different surface textures to test for effects on trap catches (see text for details). The relative  
608 attractiveness of various food baits was tested in white traps.  
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611 **Figure 4.** Mean (+SE) numbers of *H. axyridis* adults caught in traps of different colors. Means  
612 bearing the same letters were not significantly different (Fisher's LSD,  $\alpha = 0.05$ ).

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decompressor  
are needed to see this picture.

614 **Figure 5.** Mean (+SE) numbers of *H. axyridis* adults caught in traps baited with different food  
615 resources. Means bearing the same letters were not significantly different (Fisher's LSD,  $\alpha =$   
616 0.05).

QuickTime™ and a  
decompressor  
are needed to see this picture.

617